

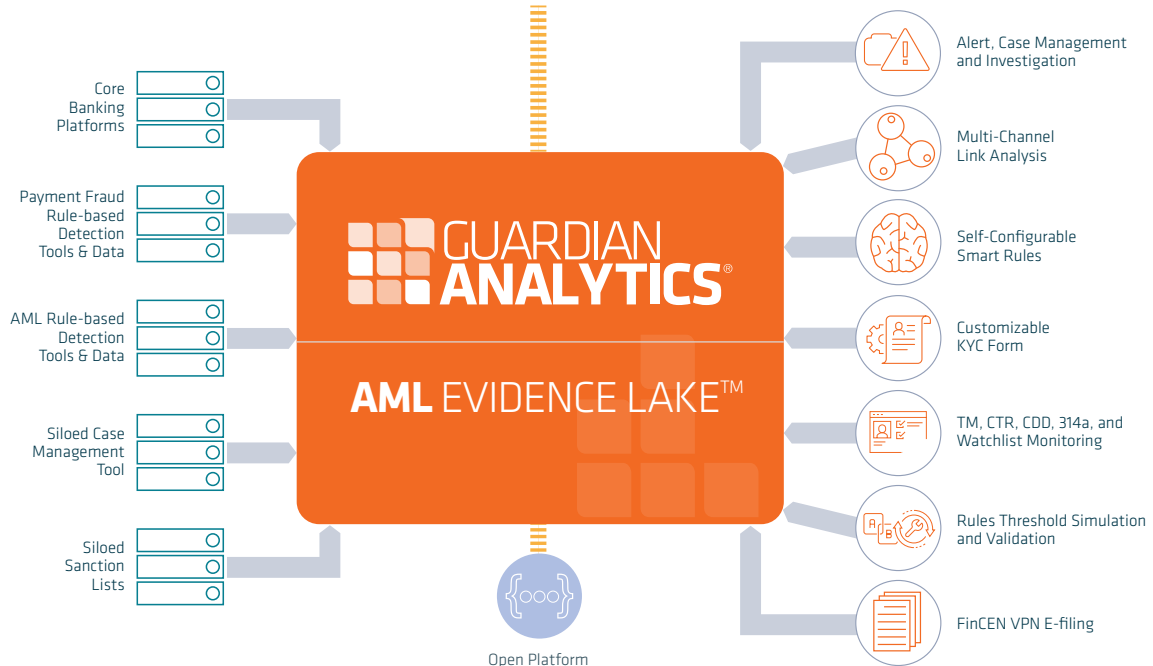
Xceed AML Evidence Lake

Criminals have weaponized AI/Machine learning to breach conventional AML detection tools. As such financial institutions, casinos, or money service businesses should not look at financial crime from a solely regulatory perspective. With the rise of quicker payments and more sophisticated money laundering schemes, there are sizable challenges in detecting and preventing money laundering targeted at their customers and themselves. In addition, the current ever-changing environment is creating the need for the addition of more rules and the need to change thresholds of old rules. The conflicting part is every institution have specific rules and thresholds that applies to them but not to others. Therefore, is it a manual process to both add new rules and changes thresholds which often include contacting the vendor to do it. This whole process is tedious and costly. With Xceed AML Evidence Lake*™, this issue is addressed. Xceed AML Evidence Lake™ is providing a modern state-of-the-art financial crimes platform enabling your Financial Crimes teams to:

*previously Guardian Analytics AML Evidence Lake

- Detect and investigate money laundering scenarios with a Graph-based Link Analysis
- Increase AML investigation efficiency with point & click evidence exploration
- Save time and money self-configuring your own smart rules
- 100+ Transaction monitoring
- Multi-year evidence retention in a single financial crimes platform
- No software and/or hardware worries

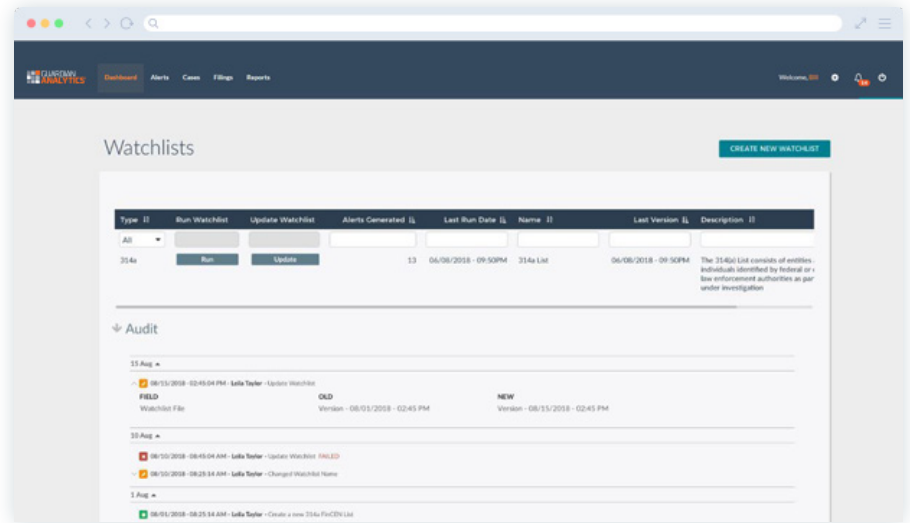
Siloed Tools & Data → SEAMLESS TRANSITION → Modern AML Platform



PREVIOUSLY  **GUARDIAN ANALYTICS**

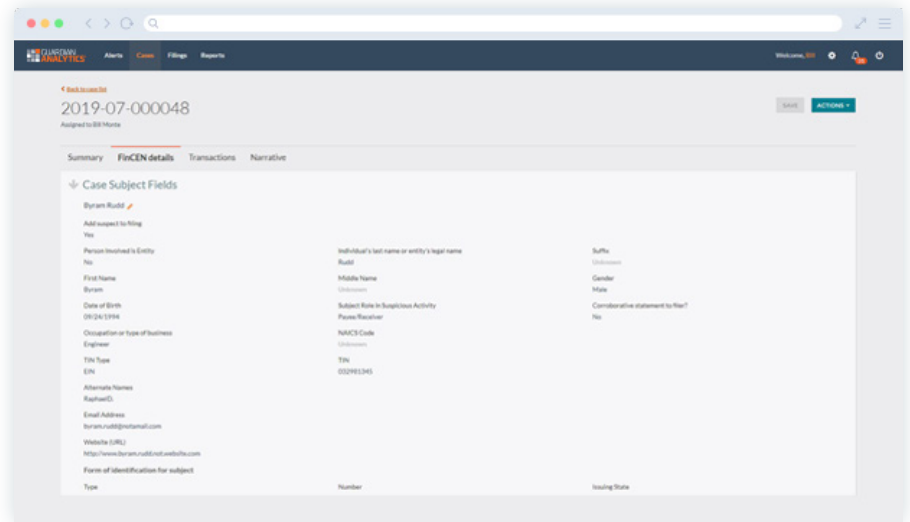
Monitors All Types of Watchlists

Upload any type of watchlist into Xceed AML Evidence Lake and it will be scanned across your customer base and transactions counterparty generating alerts for Analyst to work on. This can include 314A or any custom list provided.



Pre-filled SAR & CTR Forms with Additional Validation

All the data in the alert and case is automatically pre-populated in the SAR and CTR forms to save an Analyst time entering the field one-by-one, and preventing potential human error. Additionally, extra validation is added so that no SARs or CTRs will be filed to FinCEN with warnings or fatal errors, giving the Manager and Analyst peace of mind.



One Click FinCEN VPN E-filing

Forget the old manual process of downloading a batch filing, going into BSA E-filing, uploading the batch filing, and waiting for an acknowledge. Xceed AML Evidence Lake Platform has a 24/7 VPN tunnel with FinCEN where filings can be submitted at any time and any responses from FinCEN are captured and stored in the user interface.

Secure Cloud Benefits

- SOC2 Type 1 & 2 Data centers*
- Elastic Storage and Compute
- Instant access
- No hardware CAPEX required
- No software upgrade worries
- Data residency control geographic zone*
- Data secured in-flight and at-rest with cloud provider's security compliance**

* See <https://aws.amazon.com/security> for additional details

** See <https://aws.amazon.com/compliance/services-in-scope> for additional details



CSA
Cloud Security Alliance
Controls



ISO 9001
International Organization for
Standardization
Global Quality
Standard



ISO 27001
International Organization for
Standardization
Security Management
Standard



ISO 27017
International Organization for
Standardization
Cloud Specific
Controls



ISO 27018
International Organization for
Standardization
Personal Data
Protection



PCI DSS Level 1
Payment Card
Standards



SOC 1
Audit Controls Report



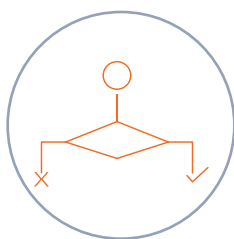
SOC 2
Security, Availability, &
Confidentiality Report



SOC 3
General Controls
Report



**Focus on biggest
risk areas across
channels**



**Make faster and
better fraud risk
decisions**



**Build trust through
more productive
customer conversations**



**Enhance services and
offerings based on
customer behavior**

"With our old system we saw a tremendous number of false positives. We needed a solution that examines all the risk factors and more intelligent and comprehensive solutions, the team says we want to work smarter not harder."

-Kim Syrop, Director of Change Management, Webster Bank

About Guardian Analytics

Guardian Analytics is the pioneer and leading provider of behavioral analytics and machine learning solutions for preventing banking and enterprise portal fraud. Hundreds of financial institutions have standardized on Guardian Analytics' innovative solutions to mitigate fraud risk and rely on the company to stop the sophisticated criminal attacks targeting retail and commercial banking clients. With Guardian Analytics, financial institutions build trust, increase competitiveness, improve their customer experience and scale operations. Guardian Analytics was acquired by NICE Actimize in August, 2020. For more information, please visit guardiananalytics.com or niceactimize.com. Guardian Analytics is a registered trademark of Guardian Analytics, Inc.

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